

02-05-01

A

Comparable to Form PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB0651-0032

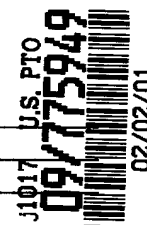
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UTILITY PATENT APPLICATION TRANSMITTAL

(For new Non-provisional applications under 37 CFR1.53(b))



Attorney Docket No.: VAL1599P0190US  
 First Named Inventor: Olivier de Pous  
 Express Mail Label No. EL733166452US

Box PATENT APPLICATION  
 Commissioner For Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a new utility patent application of inventors: **Olivier de Pous and Jean-Jacques Ligny** and entitled: **ATTACHMENT DEVICE TO ATTACH A PUMP OR VALVE ONTO A RECIPIENT NECK.**

## Application Elements:

1. ☒ Specification containing 12 pages (preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract (of the Disclosure)
2. ☒ Drawings: 5 Sheets of ☐ formal drawings ☒ informal drawings
3. ☐ Oath or Declaration
  - a. ☐ An executed declaration or oath for the utility patent application including a power of attorney,
  - b. ☐ An unexecuted declaration or oath for the utility patent application including a power of attorney;
  - c. ☐ Copy from a prior application (37 CFR 1.63(d), for continuation/divisional with No. 17 completed. [Note No. 4 below.])
    - i. ☐ Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ **For CONTINUATION or DIVISIONAL Applications only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 3c, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence submission. including:  
☐ Computer readable copy,  
☐ Paper copy (identical to computer copy),  
☐ Statement verifying identity of above copies.

**Accompanying Application Parts:**

7. ☐ Assignment Papers (cover sheet, document(s) and requisite fee).
8. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ Power of Attorney
9. ☐ English Translation document (if applicable)
10. ☐ Information Disclosure Statement (IDS), including PTO-1449  
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Postcard for PTO Mail Room Date Stamp (should be specifically itemized).
13. ☐ Applicant claims small entity status (See 37 CFR 1.27.)  
☐ A Statement Claiming Small Entity Status is enclosed.
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed).
15. ☐ Request and Certification For Nonpublication Under 35 U.S.C. 122(b)(2)(B)(i).
16. ☒ Other Priority is claimed of FR 00.05877, filed May 5, 2000 and FR 00.14259, filed November 7, 2000
17. ☐ If Continuing Application, check appropriate box and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_, filed \_\_\_\_\_.  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**Fee Calculation**

The filing fee has been calculated after entry of the enclosed Preliminary Amendment as shown below:

| Small Entity                      |           |             |           |            |          | Large Entity |          |
|-----------------------------------|-----------|-------------|-----------|------------|----------|--------------|----------|
| For                               | No. Filed | No. Allowed | No. Extra | Rate       | Fee      | Rate         | Fee      |
| Basic Fee                         |           |             |           |            | \$355.00 |              | \$710.00 |
| Total Claims                      | 16        | - 20 =      | 0         | x \$9.00   | \$       | x \$18.00    | \$-      |
| Indep. Claims                     | 2         | - 3 =       | 0         | x \$40.00  | \$       | x \$80.00    | \$-      |
| Multiple Dependent Claims Present |           |             |           | + \$135.00 | \$       | + \$270.00   | \$-      |
|                                   |           |             |           | TOTAL      | \$       | TOTAL        | \$710.00 |

18. ☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.
19. ☐ Please charge my Deposit Account No. 04-1644 in the amount of \$\_\_\_\_\_.
20. ☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 04-1644:
- ☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
  - ☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
  - ☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 04-1644, and the Commissioner is authorized to so charge the Deposit Account.

Date: February 2, 2001

Attorney's Signature

  
Paul M. Odell, Reg. No. 28,332

Correspondence Address:

ROCKEY, MILNAMOW & KATZ, LTD.

Two Prudential Plaza

180 North Stetson Avenue, Suite 4700

Chicago, Illinois 60601

Telephone: (312) 616-5400

Facsimile: (312) 616-5460

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that this Utility Patent Application Transmittal, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No.: EL733166452US

Date of Deposit: February 2, 2001

Typed/Printed Name of Person Signing: Paul M. Odell

Signature:

